EXAMPLES OF EXOTIC MODULI IN LOCAL CLASSIFICATION OF GOURSAT FLAGS

BY PIOTR MORMUL

Abstract. A distribution D of corank $r \geq 2$ on a manifold W is Goursat when its Lie square [D, D] is a distribution of constant corank r-1, the Lie square of [D, D] is of constant corank r-2 and so on, the ' 2^{r-1} th Lie power' of D – of constant corank 1, the ' 2^{r} th Lie power' of D – the whole tangent bundle TW.

Local classification of Goursat distributions has been advanced over the past 20 years, including continuous moduli for $r \geq 8$ falling within the fifth class of a recent geometric systematization [8]. In the note we present examples of 'exotic' moduli corresponding to the fourth class in [8], thus showing that all five possibilities separated in [8] really happen in the Goursat world.

1. Introduction. The note deals with Goursat flags – nested sequences of $r \geq 2$ (flags of length r) distributions in the tangent bundle TW to a (\mathbb{C}^{∞} , or real analytic) manifold W of dimension $n \geq r+2$, every bigger one being the Lie square of the preceding and having by one bigger rank. That is, indexing the members of a flag by their coranks, $TW = D^0 \supset D^1 \supset D^2 \supset \cdots \supset D^r$, $D^{j-1} = D^j + [D^j, D^j]$, $\operatorname{rk} D^j = n - j$ for $j = 1, 2, \ldots, r$.

One says also that any flag member save D^1 is a Goursat distribution. Such a member clearly determines all members with smaller coranks; D^r determines the whole flag.

The purpose of the note is to give examples of the most complicated local behaviour – when passing from flags of length r to those of length r + 1 –

¹⁹⁹¹ Mathematics Subject Classification. 58A17, 30.

 $[\]it Key\ words\ and\ phrases.$ Goursat flag, symmetry of a flag, local classification, exotic module.

Supported by Polish KBN Grant 2 P03A 041 15.

emanating from a geometric systematization recently proposed in [8]. Montgomery and Zhitomirskii have shedded light on a series of local classification results obtained so far for Goursat distributions by separating five distinctly different cases in the local prolongation from $D^1 \supset D^2 \supset \cdots \supset D^r$ to $D^1 \supset D^2 \supset \cdots \supset D^r \supset D^{r+1}$. In fact, reproducing their notation and denoting by $L(D^r)$ the characteristic subdistribution of D^r that, for any Goursat distribution, is of codimension 2 in D^r , any local symmetry, say ϕ , of D^r around a point p, $\phi_*D^r = D^r$, preserves $L(D^r)$, hence induces $[d\phi(p)]: D^r(p)/L(D^r)(p) \hookleftarrow$. In turn, $[d\phi(p)]$ clearly induces a projective automorphism of $S^1(D^r)(p) = P(D^r(p)/L(D^r)(p))$ denoted by g_{ϕ} ; automorphisms of the real projective line are termed projectivities.

The group of all projectivities g_{ϕ} obtained in this way is denoted by $\Gamma_p(D^r)$. According to Prop. 3 of [8], the orbits of $\Gamma_p(D^r)$ acting on $S^1(D^r)(p)$ are in 1–1 correspondence with the equivalence classes of germs of D^{r+1} at p such that the germ of D^r is fixed. In the sequel we write shortly (when no ambiguity) Γ and S^1 .

The analysis of orbits is done through the fixed points of Γ . From the very nature of Goursat flags it follows that Γ has always a fixed point $L = L(D^{r-1})(p)/L(D^r)(p) \in S^1$; it has sometimes also a second fixed point M, of possibly various geometric character. In such a case Montgomery and Zhitomirskii denote by $\sigma \in \Gamma$ the reflection in the line of one of the fixed points, along the direction of the other (the order of fixed points does not matter for this definition). At some other times, when $\Gamma = \{\text{id}\}$, the whole S^1 consists of fixed points. [8] restricts the possibilities of Goursat prolongations to the five below, not precising which of them occurs for any given germ (D^r, p) (for the equivalence class of D^r at p, in fact).

- I. First possibility: Γ has precisely 1 fixed point L. There are two orbits of the action of Γ on S^1 : L and $S^1 \setminus \{L\}$.
- II. Second possibility: Γ has precisely 2 fixed points and $\Gamma \supseteq \{ id, \sigma \}$. There are three orbits: L, M, and $S^1 \setminus \{L, M\}$.
- III. Third possibility: Γ has precisely 2 fixed points and $\sigma \notin \Gamma$. There are four orbits: L, M, and the two connected components of $S^1 \setminus \{L, M\}$.
- IV. Fourth possibility: $\Gamma = \{ id, \sigma \}$. There are infinitely many orbits: L, M, and pairs $\{ N, \sigma(N) \}, N \in S^1 \setminus \{ L, M \}$.
- V. Fifth possibility: all points of \hat{S}^1 are fixed and $\Gamma = \{ \text{id} \}$ (any projectivity with at least three fixed points is identity).

Examples of situations in the Goursat world illustrating Possibilities I, II, III, and V exist in the recent literature and are supplied in [8]. It is not so with a quite exotic Possibility IV. In view of the works [3], [2], [1], [4], it assuredly does not occur in flags of lengths not greater than 7. We are going to describe

two fairly different examples of Possibility IV occurring on the circle $S^1(D^r)(p)$ for r=8 and r=9, i. e., among Goursat flags of length 9 and 10.1

2. Example for r=8 with several singular positions in the flag. The manifold with a distinguished point is $(\mathbb{R}^{11}, 0)$. A Goursat distribution D^9 will be given in a Kumpera-Ruiz pseudo-normal form [3] (see also [1]) using coordinates x^1, x^2, \ldots, x^{11} on \mathbb{R}^{11} .

The example is a two-step prolongation of either of the couple (***) of non-equivalent Goursat germs of length 7 in Main Theorem in [4]. In members of that pair Possibility III (four orbits) shows up; the two orbits different from the fixed points are treated in Thm. [32332] in [4]. We prolong through a position $D^7(0)$ chosen in either of those orbits, then take the singular position

(1)
$$D^8(0) = L(D^6)(0).$$

We have, therefore, D^8 under the normal form $D^8 = (\omega^1, \, \omega^2, \dots, \, \omega^8)^{\perp}$, where $\omega^1 = dx^2 - x^3 dx^1$, $\omega^2 = dx^3 - x^4 dx^1$, $\omega^3 = dx^1 - x^5 dx^4$, $\omega^4 = dx^5 - (1 + x^6) dx^4$, $\omega^5 = dx^4 - x^7 dx^6$, $\omega^6 = dx^6 - x^8 dx^7$, $\omega^7 = dx^8 - (a + x^9) dx^7$, $\omega^8 = dx^7 - x^{10} dx^9$

and a = 1 (a representative of one orbit consisting of all objects with a > 0) or a = -1 (a representative of the other orbit – all a < 0). This parameter will be fixed in our arguments.

REMARK 1. The fact of prolonging through the singular position (1), instead of other possible positions of $D^8(0)$, is important. It will immediately help in computing, in the next prolongation, the second fixed point M. It is not that simple with M in the other example in Sec. 3, where we prolong not through a fixed point position.

A short calculus shows that, independently of a, the circle $S^1(D^8)(0)$ is equal to $P(\text{span}(\partial_9, \partial_{10}))$.

We compute the fixed points L and M, skipping here and in the sequel writing 'span' and using the square brackets for the points of the projective line. We start with, existing in any situation, $L = [L(D^7)(0)/L(D^8)(0)] = [(\partial_{10}, \partial_{11})/(\partial_{11})] = [\partial_{10}]$. Searching for M, we guess it as in [8], using a standard tool in singularities. In the context of Goursat it works always after a singular prolongation like the one in (1). After a computation, the locus, say Sing, of points $q \in \mathbb{R}^{11}$ where $D^8(q) = L(D^6)(q)$ holds, is just

¹ We do not know yet whether Possibility IV happens among flags of length 8. In particular, we do not know if D^7 – a member of the couple (***) discussed below admits at all a second fixed point on $S^1(D^7)(0)$. Also, both examples will be in codimension 4. In codimension 1, by [6], only Possibilities I and II materialize. Does Possibility IV show already up in codimension 2 or 3?

 $\{x^{10} = 0\}$, and we have, defined in invariant terms, a fixed point $M = [D^8(0) \cap T_0 \operatorname{Sing}/L(D^8)(0)] = [(\partial_9, \partial_{11})/(\partial_{11})] = [\partial_9]$ different from L. Now our actual prolongation from D^8 to D^9 consists in adding one more Pfaffian equation $\omega^9 = dx^{10} - (b + x^{11})dx^9 = 0$ depending on a real parameter b, so that $D_b^9 = (\omega^1, \dots, \omega^8, \omega^9)^{\perp}$. All points of $S^1 = P(\partial_9, \partial_{10})$ (i. e., possible positions $D_b^9(0)/L(D^8)(0)$) excepting L are parametrized by $b \in \mathbb{R}$. Indeed, $[D_b^9(0)/L(D^8)(0)] = [(\partial_9 + b\partial_{10}, \partial_{11})/(\partial_{11})] = [\partial_9 + b\partial_{10}]$. In particular, for b = 0 we get M.

Observe that D^8 has the following simple symmetry ϕ , $\phi(x^1, x^2, \dots, x^{11}) = (x^1, -x^2, -x^3, -x^4, -x^5, x^6, -x^7, -x^8, x^9, -x^{10}, -x^{11})$. The induced projectivity g_{ϕ} sends $[A\partial_9 + B\partial_{10}]$ to $[A\partial_9 - B\partial_{10}]$. One recognizes in it the reflection σ with fixed points $[\partial_9]$ and $[\partial_{10}]$. Therefore,

(2)
$$\Gamma_0(D^8) \supset \{ \mathrm{id}, \ \sigma \}.$$

The symmetry ϕ is not taken at random; preserving D^8 , it conjugates D^9_b and D^9_{-b} for every $b \in \mathbb{R}$. This is a starting observation. In fact, we are going to show

Theorem 1. In the family of germs at $0 \in \mathbb{R}^{11}$ of KR pseudo-normal forms D_b^9 with fixed value of $a \in \{-1, 1\}$, the value |b| is a module of local smooth, or real analytic, classification.

COROLLARY 1. There is equality in (2) and the prolongation from D^8 to D_b^9 materializes Possibility IV of Sec. 1: in view of Thm. 1 and the existence of ϕ , there is infinitely many two-point orbits of $\Gamma_0(D^8)$.

PROOF OF THEOREM 1. We write $X^6 = 1 + x^6$ and $X^9 = a + x^9$. Suppose that a local diffeomorphism $g = (g^1, g^2, \ldots, g^{11}) : (\mathbb{R}^{11}, 0) \hookleftarrow$ conjugates D_c^9 and $D_{\tilde{c}}^9 : g_*D_c^9 = D_{\tilde{c}}^9$. By general considerations related to conjugating by g all respective members of the two flags as well, we know from the beginning that g^l depends only on: x^1, x^2, x^3 when $l \leq 3$, and on x^1, x^2, \ldots, x^l when $4 \leq l \leq 11$ (the preservation by g of one and the same for both flags $L(D^8) = (\partial_{11})$ means that g^1, \ldots, g^{10} depend only on x^1, \ldots, x^{10} ; then one passes to the preservation by g of $L(D^7) = (\partial_{10}, \partial_{11})$, etc.). On the other hand, it is directly verifiable that the singular phenomenon $D^j(\cdot) = L(D^{j-2})(\cdot)$ for $j \in \{3, 5, 6, 8\}$ happens exactly at points of $\{x^{j+2} = 0\}$. (For these j's, in the 1-forms ω^j there are transpositions of indices that are responsible for the respective phenomena: dx^1 transposed with dx^4 in ω^3 , dx^4 transposed with dx^6 in ω^5 , etc.) Coupling these facts, we get that

(3)
$$g^j = x^j G^j(x^1, x^2, \dots, x^j), \quad j \in \{5, 7, 8, 10\}$$

for certain smooth functions G^j such that $G^j | 0 \neq 0$. Here and in the sequel we denote by | 0 the evaluation at 0 of no matter how long expression φ :

 $\varphi(0) = \varphi(0)$; the last inequalities are a consequence of the invertibility of Dg(0).

Looking at the Pfaffian equations above, we deduce from them that $D_b^9 = (\partial_{11}, Y_b)$, where $Y_b = \partial_9 + (b + x^{11})\partial_{10} + x^{10}(\partial_7 + X^9\partial_8 + x^8(\partial_6 + x^7(\partial_4 + X^6\partial_5 + x^5(\partial_1 + x^4\partial_3 + x^3\partial_2))))$. Now the fact that g^1, g^2, \ldots, g^{10} do not depend on x^{11} implies that $Dg(0)\partial_{11}$ is a non-zero multiple of ∂_{11} . Hence, writing

(4)
$$Dg(x)Y_c(x) = f(x)Y_{\tilde{c}}(g(x)) + h(x)\partial_{11}$$

with certain function coefficients f and h, we obtain $f | 0 \neq 0$. This basic information and (4) in general, together with (3), are going to be used many times; the aim is to deduce that $|c| = |\tilde{c}|$.

In the sequel we shall write simply g_k^l for $\frac{\partial g^l}{\partial x^k}$. For instance, the inequality $\frac{\partial g^l}{\partial x^l} \mid 0 \neq 0$ will henceforth be denoted $g_l^l \mid 0 \neq 0$.

Taking (4) at 0, we get $Dg(0)(\partial_9 + c\partial_{10}) = f(0)(\partial_9 + \tilde{c}\partial_{10}) + h(0)\partial_{11}$. Comparing the coefficients at ∂_9 and ∂_{10} , we obtain

(5)
$$g_9^9 \mid 0 = f \mid 0$$
 and $c G^{10} \mid 0 = \tilde{c} f \mid 0$.

Comparing in (4) the coefficients at ∂_7 and ∂_8 , one sees that they can be divided sidewise by x^{10} (not a zero divisor): thanks to (3) for j = 10, the coefficients at $\partial_1, \partial_2, \ldots, \partial_8$ on the RHS of (4) are multiplicities of x^{10} . After removing x^{10} , we get

(6)
$$fG^{10} = a \text{ function of } x^1, x^2, \dots, x^8,$$

(7)
$$(fG^{10})^{-1} (x^8(*) + g_8^8(a+x^9)) = a + g^9;$$

(*) in (7) means a certain function whose explicit formula could be written using (3) for j = 8. Formula (7) evaluated at 0 reads

(8)
$$(fG^{10})^{-1}g_8^8 a | 0 = a.$$

Now (6) and (7) imply $g_9^9 \mid 0 = (fG^{10})^{-1}g_8^8 \mid 0 = 1$ (by (8)). Hence $f \mid 0 = 1$ by (5). As a byproduct, with $g_8^8 \mid 0 = G^8 \mid 0$ (3) again, we get

$$(9) G^8 | 0 = G^{10} | 0.$$

Comparing in (4) the coefficients at ∂_6 , and then dividing sidewise by x^8x^{10} (it is explicit on the RHS thanks to (3) for j=8 and 10), one obtains

(10)
$$g_6^6 \mid 0 = fG^{10}G^8 \mid 0 = G^{10}G^8 \mid 0.$$

At last, the coefficients at ∂_4 and ∂_5 in (4), divided by $x^7x^8x^{10}$ (with (3) taken into account on the RHS's for j = 7, 8, 10), yield

(11)
$$fG^{10}G^8G^7 = \text{a function of } x^1, x^2, \dots, x^5,$$

$$(fG^{10}G^8G^7)^{-1}\left(x^5(*) + g_5^5(1+x^6)\right) = 1 + g^6,$$

with (*) – a certain function expressable by G^5 (see (3)). The previous trick with g^9 can now be repeated. (12) evaluated at 0 is

$$(13) (fG^{10}G^8G^7)^{-1}g_5^5 \mid 0 = 1$$

while (11) and (12) imply $g_6^6 \mid 0 = (fG^{10}G^8G^7)^{-1}g_5^5 \mid 0 = 1$ (by (13)). Now (10) takes the form

$$(14) G^8 G^{10} \mid 0 = 1.$$

Finally, (9) and (14) imply $(G^{10} | 0)^2 = 1$, and the second equation in (5) is reduced to $c(\pm 1) = \tilde{c}$. The proof is finished.

3. Example for r=9 with just one singular position in the flag. In the second example r=9, the underlying manifold is $(\mathbb{R}^{12}, 0)$, $D^9=(\omega^1, \omega^2, \ldots, \omega^9)^{\perp}$, where

$$\begin{array}{lll} \omega^1 = dx^2 - x^3 dx^1, & \omega^2 = dx^3 - x^4 dx^1, & \omega^3 = dx^1 - x^5 dx^4, & \omega^4 = dx^5 - x^6 dx^4, \\ \omega^5 = dx^6 - x^7 dx^4, & \omega^6 = dx^7 - x^8 dx^4, & \omega^7 = dx^8 - (1+x^9) dx^4, & \omega^8 = dx^9 - x^{10} dx^4, & \omega^9 = dx^{10} - (a+x^{11}) dx^4 \end{array}$$

and a=1, or else a=-1, is a parameter fixed in the whole section. This is another KR normal form whose real meaning will gradually become clear. This time $D^9(0)$ is not a fixed point position (cf. Rem. 1); a=0 in ω^9 would give such a position; another such position would be given by a different ninth Pfaffian equation $dx^4 - x^{11}dx^{10} = 0$ added to the preceding eight equations. Prolonging not through a fixed point, it is all the more surprising that at the next prolongation we are bound to have two fixed points, and even more – IV possibility. The outcome of that next prolongation depends, as in the previous example in Sec. 2, on a real parameter b: $D_b^{10} = (\omega^1, \dots, \omega^9, \omega^{10})^{\perp}$, $\omega^{10} = dx^{11} - (b + x^{12})dx^4$. We write explicitly its vector field generators, $D_b^{10} = (\partial_{12}, Y_b)$, using T for transpose:

$$Y_b^{\mathrm{T}} = \left[x^5, \, x^3 x^5, \, x^4 x^5, \, 1, \, x^6, \, x^7, \, x^8, \, 1 + x^9, \, x^{10}, \, a + x^{11}, \, b + x^{12}, \, 0 \, \right] \, .$$

Theorem 2. In the family of germs at $0 \in \mathbb{R}^{12}$ of Goursat distributions D_b^{10} with fixed value of $a \in \{-1, 1\}$, the value |b| is a module of local smooth, or real analytic, classification.

In the proof, we will try to conjugate D_c^{10} to $D_{\bar{c}}^{10}$ by a diffeomorphism $g:(\mathbf{R}^{12},0)\longleftrightarrow,\ g_*D_c^{10}=D_{\bar{c}}^{10};\ g=(g^1,g^2,\ldots,g^{12}).$ Let us concentrate for a while on the one before last member of the flags, D^9 (common for both flags). It does not depend on $x^{12},\ L(D^9)=(\partial_{12}),\ \mathrm{and}\ (g^1,\ldots,g^{11})$ is a symmetry of D^9 considered on $\mathbb{R}^{11}(x^1,\ldots,x^{11})$ (g^1,\ldots,g^{11}) do not depend on x^{12} ,

similarly as in Sec. 2). Such a symmetry has much in common with the conjugacies of $(\omega^1, \dots, \omega^5, dx^7 - (1+x^8)dx^4, dx^8 - x^9dx^4, dx^9 - (a+x^{10})dx^4)$ and $(\omega^1,\ldots,\,\omega^5,\,dx^7-(1+x^8)dx^4,\,dx^8-x^9dx^4,\,dx^9-(\tilde{a}+x^{10})dx^4)$ in \mathbb{R}^{10} analyzed in detail in [5], Thm. 3. Presently there is a longer sequence of Pfaffian equations after ω^3 with no constants in them (now $\omega^{\bar{4}}$, ω^5 , ω^6 ; then ω^4 , ω^5), but apart from that the differences are only secondary. The conclusion in [5] was that a and \tilde{a} were necessarily of the same sign, and equal to 0 only simultaneously. Putting $a = \tilde{a}$ there, the conclusions concerning any conjugating diffeomorphism (in fact – symmetry then) keep holding. In the present work we take from the beginning a = 1 (or a = -1) in both flags, and draw conclusions concerning g^1, \ldots, g^{11} very much similar to those in [5]. (Saying differently, we could prove a theorem generalizing [5], but our present objective is different - we are doing one Goursat prolongation 'beyond' Thm. 3 in [5]. In view of the remark terminating [5], this cannot be understood literally. A longer sequence of Pfaffian equations without constants is put forward to make all this work, cf. [6], Obs. 4.2.) It is not all – there is also g^{12} – but it is a lot. We will give all steps important in calculations, underlining technical differences with [5], but skipping the calculations themselves.

PROOF OF THEOREM 2. We start as in the proof of Thm. 1, noting the existence of certain functions f and h, $f | 0 \neq 0$, such that

(15)
$$Dg(x)Y_c(x) = f(x)Y_{\tilde{c}}(g(x)) + h(x)\partial_{12},$$

and knowing beforehand that the function coordinates g^1 , g^2 , g^3 depend only on x^1 , x^2 , x^3 , and that, for $4 \le l \le 12$, the function g^l depends on x^1 , x^2 , ..., x^l . Comparing coefficients at ∂_l in (15), we will say: taking the scalar equation "l" of (15).

Evaluating at 0 the scalar equation "11" of (15), we obtain

(16)
$$g_4^{11} + g_8^{11} + a g_{10}^{11} + c g_{11}^{11} \mid 0 = \tilde{c} f \mid 0.$$

Proposition 1. $g_4^{11} + g_8^{11} + a g_{10}^{11} | 0 = 0$.

A sketch of the proof of this proposition is given in Sec. 5.

How to compute $g_{11}^{11} \mid 0$? g^{11} can be expressed from the scalar equation "10" of (15) in terms of g^{10} and f, and f – obtainable from the equation "4" of (15) – depends only on x^1, \ldots, x^5 . Jointly, $g_{11}^{11} \mid 0 = f^{-1}g_{10}^{10} \mid 0$. $g_{10}^{10} \mid 0$ is easily computable and equals $f^{-1} \mid 0$ (the exact analogue of Claim in [5]). So, assuming Prop. 1, (16) boils down to

(17)
$$c f^{-2} | 0 = \tilde{c} f | 0.$$

How to grasp the value $f \mid 0$? With a fixed in Thm. 2, there is not much choice for that value. Let us evaluate at 0 the equation "10" of (15):

(18)
$$g_4^{10} + g_8^{10} + a g_{10}^{10} \mid 0 = a f \mid 0.$$

Proposition 2. $g_4^{10} + g_8^{10} \mid 0 = 0$.

This is a direct analogue of Basic Lemma in [5]. A sketch of the proof of Prop. 2 is given in Sec. 4.

Assuming Prop. 2, (18) becomes $f^{-1} | 0 = f | 0$, meaning $f | 0 = \pm 1$. Now (17) says that $c = \pm \tilde{c}$. The proof is finished.

Thm. 2 is the last word for the family D_b^{10} , $b \in \mathbb{R}$, because there exists a symmetry of D^9 , Φ , sending D_b^{10} to D_{-b}^{10} for every b. Indeed, $\Phi(x^1, x^2, \ldots, x^{12}) = (-x^1, -x^2, x^3, -x^4, x^5, -x^6, x^7, -x^8, x^9, -x^{10}, x^{11}, -x^{12})$ does all that. How does the induced projectivity $g_{\Phi} \in \Gamma_0(D^9)$ act on $S^1(D^9)(0) = P(\partial_4 + \partial_8 + a\partial_{10}, \partial_{11})$? It sends $[A\partial_{11} + B(\partial_4 + \partial_8 + a\partial_{10})]$ to $[A\partial_{11} - B(\partial_4 + \partial_8 + a\partial_{10})]$, hence it is the reflection σ with fixed points $[\partial_{11}]$ and $[\partial_4 + \partial_8 + a\partial_{10}]$. The first of these points is the well known $L = [L(D^8)(0)/L(D^9)(0)] = [(\partial_{11}, \partial_{12})/(\partial_{12})] = [\partial_{11}]$. The second is $M = [D_0^{10}(0)/L(D^9)(0)]$ obtained for the value b = 0. And indeed, for this value of b, in view of Thm. 2, the respective orbit in S^1 is just one point. This is clear only after Thm. 2; before it the second fixed point is not visible, although it exists. By the same theorem, and thanks to Φ , for all non-zero values of b (i. e., in the remaining part of S^1 save L) the orbits are two-point. One meets again Possibility IV. We repeat: this time the second fixed point M is poorly explained geometrically – just the vanishing of a certain parameter in a family of KR pseudo-normal forms.

REMARK 2. The example in this section contributes to the question put forward at the end of [6]. The family D_b^{10} , it is – in the language of [6] – the situation k=0, j=3, and i=3. From a theory developed there it follows that the *interesting* distances (from the first non-zero constant) are then 2, 3, and 8. $c^{11}=a$ is at distance 2 from the first non-zero $c^9=1$, $c^{12}=b$ is at distance 3 from c^9 . It appears that not entire c^{12} , but only $|c^{12}|$, is an invariant of the local classification of Goursat.

4. Sketch of proof of Proposition 2. Mimicking the proof of Basic Lemma in [5], we note in the first turn that now g, being a symmetry of D^9 , preserves $c^9 = 1$ and $c^{10} = 0$, that is

$$(19) g_4^8 + g_8^8 \mid 0 = f \mid 0$$

(the scalar equation "8" of (15) taken at 0), and

$$(20) g_4^9 + g_8^9 \mid 0 = 0$$

(the equation "9" of (15) taken at 0). Constantly using (15), we gradually express relations (19) and (20) in terms of f and: g^8 , then g^7 , then g^6 , then g^5 which already is special. Those reductions are a particular advantage of KR pseudo-normal forms, regarding coordinate functions (of any conjugating diffeomorphism) corresponding to non-singular positions in the flags being conjugated.

As for g^5 , from geometric considerations $g^5 = x^5 G(x^1, \ldots, x^5)$ for certain function G(cf. (3)); presently in the flag only $D^3(0)$ is at the singular position). In what concerns (19), it quickly reduces to

$$(21) G \mid 0 = f^4 \mid 0$$

(cf. [5], (f)). Concerning (20), it assumes, gradually, the forms:

$$-f_4 + g_7^8 + 2g_{48}^8 \mid 0 = 0,$$

$$-3f_4 + f^{-1}g_6^7 + 3f^{-1}g_{47}^7 \mid 0 = 0,$$

$$-6f_4 + f^{-2}g_5^6 + 4f^{-2}g_{46}^6 \mid 0 = 0,$$

$$-2f_4 + f^{-3}G_4 \mid 0 = 0$$
(22)

(an analogue of (d) in [5]). Passing to the main quantity $g_4^{10} + g_8^{10} | 0$, we express it gradually in terms of f and: g^9 , then g^8 , then g^7 , then g^6 , then G, using on way the intermediate identities leading from (20) to (22):

$$\begin{split} g_4^{10} + g_8^{10} \, | \, 0 &= f^{-1}(g_7^9 + g_{44}^9 + 2g_{48}^9 + g_{88}^9) \, | \, 0 \, , \\ g_4^{10} + g_8^{10} \, | \, 0 &= f^{-2}(3g_{47}^8 + g_6^8 + 3g_{78}^8 - f_{44} + 3g_{448}^8) \, | \, 0 \, , \\ g_4^{10} + g_8^{10} \, | \, 0 &= f^{-3}(4g_{46}^7 + g_5^7 + 6g_{447}^7 - 4ff_{44} - 3(f_4)^2) \, | \, 0 \, , \\ g_4^{10} + g_8^{10} \, | \, 0 &= 5f^{-4}(2g_{446}^6 + g_{45}^6 - 2f^2f_{44} - 3f(f_4)^2) \, | \, 0 \, , \\ g_4^{10} + g_8^{10} \, | \, 0 &= 5f^{-4}(3f^{-1}G_{44} - 4f^2f_{44} - 9f(f_4)^2) \, | \, 0 \, . \end{split}$$

 $G_{44} \mid 0$ can be eliminated from this expression, because the equation "1" of (15), after dividing it sidewise by x^5 , says that

(23)
$$fG$$
 is an affine function of x^4 ,

implying that $(fG)_{44} \mid 0 = 0$. The result is

$$g_4^{10} + g_8^{10} \mid 0 = -35f^{-3}(ff_{44} + 3(f_4)^2) \mid 0$$

(an analogue of [5], (13)). Now, exactly as in [5], $ff_{44} | 0 = \frac{3}{2} (f_4)^2 | 0$, and eventually

(24)
$$g_4^{10} + g_8^{10} \mid 0 = -\frac{315}{2} f^{-3} (f_4)^2 \mid 0.$$

 $\begin{array}{l} \text{`(cf. [5], (14)). Writing } g^4 = \frac{fG\,g^4}{fG} = \frac{A(x^1, x^2, x^3) + B(x^1, x^2, x^3) \, x^4}{C(x^1, x^2, x^3) + D(x^1, x^2, x^3) \, x^4} \text{ (the equations "1" and "3" of (15) after getting rid of } x^5), } f \mid 0 = \frac{B}{C} \mid 0, \ ^2 \mid f_4 \mid 0 = -2 \frac{BD}{C^2} \mid 0, \\ G_4 \mid 0 = 3 \frac{CD}{B} \mid 0, \text{ still exactly as in [5], Rem.2.} \end{array}$

The gist is to obtain a formula for $G_4 \mid 0$ similar to (22), yet with another coefficient. Remembering that $fG \mid 0 = C \mid 0$, (21) means $B^5 \mid 0 = C^6 \mid 0$ (cf. [5], (g)) and the computation in [5] can be mimicked with the exponent at $f \mid 0$ raised from 2 to 3:

raised from 2 to 3: $3\frac{CD}{B} \mid 0 = -\frac{3}{2} \left(\frac{B}{C}\right)^3 \left(-2\frac{BD}{C^2}\right) \mid 0$. That is to say,

(25)
$$G_4 \mid 0 = -\frac{3}{2} f^3 f_4 \mid 0.$$

(22) and (25) taken together imply $f_4 \mid 0 = 0$. Now Prop. 2 follows from (24).

REMARK 3. $f_4 \mid 0 = 0$ means $D \mid 0 = 0$. Because $f = g_4^4 + x^5(*)$ (the equation "4" of (15)), the higher derivatives of f with respect to x^4 at 0 are the respective derivatives with respect to x^4 at 0 of $g_4^4 = \frac{BC - AD}{(C + Dx^4)^2}$. They all vanish since $D \mid 0$ always enters them as a factor; for instance, $f_{44} \mid 0 = g_{444}^4 \mid 0 = 6\frac{BD^2}{C^3} \mid 0$ ([5], Rem. 2 vi)).

On the other hand, $G_4 \mid 0$ vanishes by (25). Inductively, in view of (23), all higher derivatives of G with respect to x^4 also vanish at 0. These facts will be useful in the next section.

5. Sketch of proof of Proposition 1. It will appear in the outcome that all summands $g_4^{11} | 0$, $g_8^{11} | 0$, and $g_{10}^{11} | 0$ vanish.

When – constantly using (15) – expressing these summands by f and: g^{10} , g^{9}, \ldots, g^{6} , G, we keep remember that the same powerful tool (15) implies that g^{l} ($l = 6, \ldots, 10$) is affine with respect to x^{l} , hence g^{l}_{ll} vanishes identically. This kills many terms in sometimes long expressions obtained on way. Yet not all of them; certain essential terms, for a time being, persist.

Take the simplest summand $g_{10}^{11} \mid 0$. When expressed by g^{10} and f, speaking only about essential terms, terms $g_{4,10}^{10} \mid 0$ and $g_{9}^{10} \mid 0$ show up. These expressed by g^{9} cause the apparition of $g_{49}^{9} \mid 0$ and $g_{8}^{9} \mid 0$, whereas $f_{4} \mid 0 = 0$ (Sec. 4) and $f^{2} \mid 0 = 1$ (the known consequence of Prop. 2, see Sec. 3) simplify the overall expression. So reducing down, we get $g_{10}^{11} \mid 0$ expressed by $g_{46}^{6} \mid 0$ and $g_{5}^{6} \mid 0$, hence by $g_{45}^{5} \mid 0$ and $G_{4} \mid 0$, hence by $G_{4} \mid 0$ which is zero (Rem. 3).

As for the more involved summands in Prop. 1, we give the intermediate results of the similar reduction made possible by (15). Skipping also $g_{8,10}^{10} \mid 0$ that will have been reduced (in two more steps) to $g_{88}^{8} \equiv 0$, we obtain

$$g_4^{11} + g_8^{11} \mid 0 = f^{-1} \left(g_{44}^{10} + 2g_{48}^{10} + g_{88}^{10} + g_7^{10} \right) \mid 0.$$

² At this moment $f \mid 0$ is not known. Only having Prop. 2 proved we know that $f \mid 0 = \pm 1$.

At the next step we skip $g_{\,888}^9\,|\,0$ being a derivative of $g_{\,88}^8\,:\,$

$$g_4^{11} + g_8^{11} \mid 0 = g_{444}^9 + 3g_{448}^9 + 3g_{488}^9 + 3g_{47}^9 + 3g_{78}^9 + g_6^9 \mid 0$$

Keeping skipping the derivatives of g_{88}^8 , we get

$$g_4^{11} + g_8^{11} \mid 0 =$$

$$f^{-1}\left(g_{4444}^{8}+4 g_{4448}^{8}+6 g_{447}^{8}+12 g_{478}^{8}+4 g_{46}^{8}+4 g_{68}^{8}+3 g_{77}^{8}+g_{5}^{8}\right) \mid 0.$$

Taking into account that g^7 is a combination of x^5 , x^6 and x^7 (the equation "6" of (15)) and that the derivatives of g_{77}^7 obviously vanish, we obtain

$$g_4^{11} + g_8^{11} \mid 0 = g_{44444}^7 + 10g_{4447}^7 + 10g_{446}^7 + 10g_{67}^7 + 5g_{45}^7 \mid 0.$$

During the next reduction we will use the fact that g^6 is a combination of x^5 and x^6 . In fact, the equation "5" of (15) says that

(26)
$$g^6 = f^{-1} \left((x^5)^2 (*) + x^5 G_4 + x^6 (G + x^5 G_5) \right).$$

By (26), $g_4^6 \mid 0, \ g_{44}^6 \mid 0$, and $g_{444444}^6 \mid 0$ vanish, and eventually

$$g_4^{11} + g_8^{11} \mid 0 = f^{-1} (20g_{4446}^6 + 15g_{445}^6) \mid 0.$$

Approaching the end, observe that, by (26), $g_{4446}^6 \mid 0 = (f^{-1}G)_{444} \mid 0$ and $g_{445}^6 \mid 0 = (f^{-1}G_4)_{44} \mid 0$. These quantities vanish in view of Rem. 3. Prop. 1 is proved.

References

- Cheaito M., Mormul P., Rank-2 distributions satisfying the Goursat condition: all their local models in dimension 7 and 8, ESAIM: Control, Optimisation and Calculus of Variations (URL: http://www.emath.fr/cocv/) 4 (1999), 137-158.
- Gaspar M., Sobre la clasificacion de sistemas de Pfaff en bandera, Proceedings of 10th Spanish-Portuguese Conference on Math., University of Murcia (1985), 67-74 (in Spanish).
- Kumpera A., Ruiz C., Sur l'équivalence locale des systèmes de Pfaff en drapeau, F. Gherardelli ed., Monge-Ampère Equations and Related Topics, Inst. Alta Math., Rome (1982), 201–248.
- Mormul P., Local classification of rank-2 distributions satisfying the Goursat condition in dimension 9, preprint N° 582 Inst. Math., Polish Acad. Sci. (January 1998); to appear in: 'Singularités et Géométrie Sous-Riemannienne', Traveaux en cours, Hermann, Paris.
- 5. Mormul P., Contact hamiltonians distinguishing locally certain Goursat systems, to appear in: 'Poisson Geometry', Banach Center Publications Vol. 51, Warsaw, 2000.
- Mormul P., Goursat distributions with one singular hypersurface constants important in their Kumpera-Ruiz pseudo-normal forms, preprint No 185 Labo. de Topologie, Univ. de Bourgogne, Dijon (June 1999).
- 7. Mormul P., Goursat flags: classification of codimension 1 singularities, to appear in J. Dyn. Control Systems.

.8. Montgomery R., Zhitomirskii M., Geometric approach to Goursat flags, preprint (July 1999). Available at http://orca.ucsc.edu/~rmont.

Received December 17, 1999

Institute of Mathematics Warsaw University